



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kobi Iki et al. Art Unit: Unknown Serial No.: 10/766,894 Examiner: Unknown

Filed: January 30, 2004

Title : CARTILAGE TREATMENT PROBE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449 that are not U.S. Patents.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 8/17/

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 00167-491001

Application No. 10/766,894

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# **Information Disclosure Statement by Applicant** (Use several sheets if necessary)

Applicant Kobi Iki et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date January 30, 2004

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2,056,377	10/06/1936	F.C. Wappler			
	AB	4,040,426	08/09/1977	Morrison			
	AC	4,043,342	08/23/1997	Morrison			
	AD	4,966,597	10/30/1990	Cosman			
	AE	4,976,711	12/11/1990	Parins			
	AF	5,078,717	01/07/1992	Parins			
	AG	5,125,928	06/30/1992	Parins			
	АН	5,192,280	03/19/1993	Parins			
	AI	5,197,963	03/30/1993	Parins			
	AJ	5,324,289	06/28/1994	Eggers			
	AK	5,366,443	11/22/1994	Eggers et al.			
	AL	5,391,166	02/21/1995	Eggers et al.			_
	AM	5,395,363	03/07/1995	Billings et al.			
	AN	5,403,311	04/04/1995	Abele			
	AO	5,419,767	05/30/1995	Eggers et al.			
	AP	5,458,596	10/17/1995	Lax			
	AQ	5,484,436	01/16/1996	Eggers et al.			
	AR	5,549,605	08/27/1996	Hahnen			
	AS	5,549,606	08/27/1996	McBrayer			
	AT	5,569,243	10/29/1996	Kortenbach			
	AU	5,569,244	10/29/1996	Hahnen			
	AV	5,582,609	12/10/1996	Swanson et al.			
	AW	5,582,610	12/10/1996	Grossi			
	AX	5,599,349	02/04/1997	D'Amelio			
	AY	5,634,924	06/03/1997	Turkel			
	AZ	5,681,282	10/28/1997	Eggers et al.			

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include conv of this form with

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Application No. Attorney's Docket No. 10/766,894 00167-491001

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Kobi Iki et al. Filing Date

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Group Art Unit

(37 CFR §1.98(b))

January 30, 2004

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	ВВ	5,683,366	11/04/1997	Eggers			
	BC	5,688,270	11/18/1995	Yates			
	BD	5,697,281	12/16/1997	Eggers			
	BE	5,697,536	12/16/1997	Eggers			
	BF	5,697,882	12/16/1997	Eggers			
<del></del>	BG	5,697,909	12/16/1997	Eggers			
	ВН	5,697,925	12/16/1997	Taylor			
	BI	5,766,153	06/16/1998	Eggers et al.			
	ВЈ	5,779,701	07/14/1998	McBayer			
	BK	5,785,705	07/28/1998	Baker			
	BL	5,810,764	09/22/1998	Eggers			
	BM	5,846,019	12/01/1998	Eggers et al.			
	BN	5,860,951	01/19/1999	Eggers et al.			
	ВО	5,860,975	01/19/1999	Goble			
	BP	5,871,469	02/16/1999	Eggers et al.			
	BQ	5,873,855	02/23/1999	Eggers et al.			
	BR	5,888,198	03/30/1999	Eggers et al.			<u>-</u>
	BS	5,891,095	04/06/1999	Eggers et al.			
	BT	5,902,272	05/11/1999	Eggers et al.			
	BU	5,954,716	09/21/1999	Sharkey et al.			
	BV	5,980,540	11/1999	Sharkey			
	BW	6,007,570	12/28/1999	Sharkey et al.			
	BX	6,024,733	02/15/2000	Eggers et al.			
	BY	6,024,733	02/15/2002	Eggers		_	
	BZ	6,032,674	03/07/2000	Eggers			

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 00167-491001	Application No. 10/766,894	
	losure Statement plicant	Applicant Kobi Iki et al.		
(Use several sheets if necessary) 37 CFR §1.98(b))		Filing Date January 30, 2004	Group Art Unit	

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	CA	6,045,532	04/04/2000	Eggers			
	СВ	6,047,700	04/11/2000	Eggers			
	CC	6,053,172	04/25/2000	Hovda			
	CD	6,063,079	05/16/2000	Eggers			
	CE	6,066,134	05/23/2000	Eggers et al.			,
	CF	6,068,628	05/30/2000	Fanton			
	CG	6,073,051	06/06/2000	Sharkey			
	СН	6,086,585	07/11/2000	Hovda			
	CI	6,095,149	08/2000	Sharkey			
	CJ	6,099,514	08/08/2000	Sharkey et al.			
	CK	6,102,046	08/15/2000	Weinstein			
	CL	6,105,581	08/22/2000	Eggers et al.			
	СМ	6,109,268	08/29/2000	Thapliyal et al.			
	CN	6,113,597	09/05/2000	Eggers			
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	СР	6,117,109	09/12/2000	Eggers			
	CQ	6,122,549	09/19/2000	Sharkey et al.			
	CR	6,126,682	10/03/2000	Sharkey et al.			
	CS	6,135,999	10/24/2000	Fanton			
	СТ	6,149,620	11/21/2000	Baker			
	CU	6,149,620	11/21/2000	Baker			
	CV	6,149,646	11/21/2000	West, Jr. et al.			
	CW	6,152,921	11/28/2000	Gminder			
	CX	6,159,194	12/12/2000	Eggers			
	CY	6,159,194	12/12/2000	Egges			
	CZ	6,159,208	12/12/2000	Hovda			

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with						
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(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 10/766,894 00167-491001

## **Information Disclosure Statement by Applicant** (Use several sheets if necessary)

Kobi Iki et al. Filing Date Group Art Unit January 30, 2004

Applicant

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	DA	6,168,593	1/2/2001	Sharkey			
·	DB	6,168,593	01/02/2001	Sharkey			
	DC	6,179,824	1/30/2001	Eggers			
	DD	6,179,836	1/30/2001	Eggers			
	DE	6,179,836	01/30/2001	Eggers et al.			
	DF	6,183,469	2/6/2001	Thapliyal			
	DG	6,190,381	2/20/2001	Olsen			
	DH	6,203,542	03/20/2001	Ellsberry			
	DI	6,210,402	4/3/2001	Olsen			
	DJ	6,224,592	5/1/2001	Eggers			
	DK	6,228,078	5/8/2001	Eggers			
	DL	6,228,082	5/8/2001	Baker			
	DM	6,238,391	5/29/2001	Olsen			
	DN	6,245,069	06/12/2001	Gminder			
	DO	6,254,600	7/3/2001	Willink			
	DP	6,258,086	7/10/2001	Ashley			
	DQ	6,261,311	7/17/2001	Sharkey			
,	DR	6,264,650	7/24/2001	Hovda			
	DS	6,264,651	7/24/2001	Underwood			-
	DT	6,264,652	7/24/2001	Eggers			
	DU	6,264,652	07/24/2001	Eggers			
	DV	6,277,112	8/21/2001	Underwood			
	DW	6,277,114	8/21/2001	Bullivant			
	DX	6,283,960	9/4/2001	Ashley			
	DY	6,283,961	9/4/2001	Underwood			
	DZ	6,283,961	09/04/2001	Underwood			

Examiner Signature **Date Considered** 

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U.S. Department of Commerce Patent and Trademark Office

Application No. Attorney's Docket No. 10/766,894 00167-491001

## **Information Disclosure Statement by Applicant** (Use several sheets if necessary)

Applicant Kobi Iki et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date January 30, 2004

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	EA	6,290,715	9/18/2001	Sharkey			
	ЕВ	6,309,387	10/30/2001	Eggers			
	EC	6,312,408	11/6/2001	Eggers			
	ED	6,322,549	11/27/2001	Eggers			
	EE	6,355,032	03/12/2002	Hovda			
	EF	6,363,937	04/02/2001	Hovda			
	EG	6,379,351	04/30/2002	Thapliyal			
	ЕН	6,391,025	05/21/2002	Weinstein			
	EI	6,391,025	05/21/2002	Weinstein			
	EJ	6,416,507	07/09/2002	Eggers			
	EK	6,416,507	07/09/2002	Eggers et al.			
	EL	6,416,508	07/09/2002	Eggers			
	ЕМ	6,432,103	08/13/2002	Ellsberry			
	EN	6,432,103	08/13/2002	Ellsberry			
	EO	6,432,105	08/13/2002	Ellman			
	EP	6,461,350	10/08/2002	Underwood			
	EQ	6,461,354	10/08/2002	Olsen			
	ER	6,464,695	10/15/2002	Hovda			
	ES	6,468,270	10/22/2002	Hovda			
	ET	6,468,274	10/22/2002	Alleyne			
_	EU	6,482,201	11/19/2002	Olsen			
	EV	6,482,201	11//19/2002	Olsen et al.			
	EW	6,482,204	11/19/2002	Lax			
	EX	6,540,741	04/01/2003	Underwood			
	EY	6,557,559	05/06/2003	Eggers			
	EZ	6,562,036	05/13/2003	Ellman et al.			

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Kobi Iki et al.

Applicant

Filing Date January 30, 2004 Group Art Unit

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	FB	6,582,423	06/24/2003	Thapliyal			
_	FC	6,589,235	07/08/2003	Wong et al.			
	FD	6,589,237	07/28/2003	Woloszko			
	FE	6,595,990	07/22/2003	Weinstein			<u> </u>
	FF	6,602,248	08/05/2003	Sharps			
	FG	6,620,155	09/16/2003	Underwood			
	FH	6,623,454	9/23/2003	Eggers			
	FI	6,632,193	10/14/2003	Davison		-	
	FJ	6,632,220	10/14/2003	Eggers			
	FK	6,638,276	10/28/2003	Sharkey et al.			
	FL	6,695,839	02/24/2003	Sharkey et al.			
	FM	2002/0016588	02/07/2002	Wong et al.			
	FN	2004/0054366	03/18/2004	Davison et al.			
	FO	2001/0001314	05/17/2001	Davision			
	FP	2001/0020167	08/03/2001	Woloszki			
	FQ	2001/0025176	04/08/2003	Ellensberry			
	FR	2001/0029370	10/15/2002	Hovda			
	FS	2001/0029373	10/11/2001	Baker			
	FT	2001/0031963	07/27/2004	Sharkey			
-	FU	2001/0051802	07/08/2003	Woloszko			
	FV	2002/0022830	02/21/2002	Sharkey			
	FW	2002/0026186	02/28/2002	Woloszko			
	FX	2002/0068930	06/06/2002	Tasto			
	FY	2002/0095151	07/18/2002	Dahla			
	FZ	2002/0095152	07/18/2002	Ciarrocca			

Examiner Signature	Date Considered
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	losure Statement	Applicant Kobi Iki et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date January 30, 2004	Group Art Unit	

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Initial	ID GA	Number 2002/0099366	Date 07/25/2004	Patentee Dahla	Class	Subclass	Appropriate
	GB	2002/0120259	08/29/2002	Lettice			
	GC	2002/0128641	07/20/2004	Underwood			
	GD	2002/0133149	09/19/2002	Bessettte			
	GE	2002/0188290	10/28/2003	Sharkey			
	GF	2002/0193789	04/13/2004	Underwood			
	GG	2003/0009164	01/09/2003	Woloszko			
	GH	2003/0014051	01/16/2003	Woloszko			
	GI	2003/0028189	02/06/2003	Woloszko			
	GJ	2003/0040742	03/30/2004	Underwood			
	GK	2003/0055418	07/20/2004	Tasto			
	GL	2003/0084907	05/08/2003	Pacek			
	GM	2003/0088245	05/08/2003	Woloszko			
	GN	2003/0097129	05/22/2003	Davison			
	GO	2003/0097126	05/22/2003	Woloszko			
	GP	2003/0120269	08/27/1996	Bassette			
	GQ	2003/0130655	08/27/1996	Woloszko			
	GR	2003/0130738	10/29/1996	Hovda			
	GS	2003/0139789	12/10/1996	Trinnereim			
	GT	2003/0171743	02/04/1997	Tasto			
	GU	2003/0158545	06/03/1997	Hovda			
	GV	2003/0163178	10/28/1997	Davison			

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				Country or			Trans	slation
Examiner Initial	Desig. ID	Document Number	Publication Date	Patent Office	Class	Subclass	Yes	No
	GW	WO 03/068311	08/21/2003	WIPO				

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Date Considered

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. Application No. 00167-491001 10/766,894		
	closure Statement	Applicant Kobi Iki et al.		
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Examiner Initial	Desig. ID	Document Number	Publication Date	Patent Office	Class	Subclass	Yes	No
	GX	WO 99/51158	10/14/1999	WIPO				
	GY	WO 98/07468	02/26/1998	WIPO				
	HA	WO 96/39914	12/19/1996	WIPO				
	НВ	WO 96/32051	10/17/1996	WIPO				
	НС	WO 96/23449	08/08/1996	WIPO				
	HD	WO 94/26228	11/24/1994	WIPO				
	HE	WO 92/05828	04/16/1992	WIPO				
	HF	WO 2004/002293	01/08/2004	WIPO				

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document					
	HG	ArthoCare Sportsmedicine, "Extreme Control", 2003 ArthroCare Corporation.					
	НН	TAC Tissue Temperature Control Probes, 2002 Smith & Nephew, Inc.					
	HI						
	НЈ						

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EXAMINER: Initials citation considered. Draw line through citation if no	at in conformance and not considered. Include copy of this form with

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